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Approved for use through 07/31/2006. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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		Application Numb	er	10/509,959		
TRANSMITTAL	Ĺ	Filing Date		October 4, 2004		
TRANSMITTAL FORM		First Named Inven	itor	Mark E. Duggan		
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of Pages in This Submission	n 7	Attorney Docket N	lumber	21073YP		
	ENCLOSURES	(Check all th	at apply)		
Fee Transmittal Form	Drawing(s)				llowance Communication nology Center (TC)	
Fee Attached	☐ Licensing-relat	ed Papers			Communication to Board eals and Interferences	
Amendment/Reply	Petition to Con			Appeal	Communication to TC	
After Final	Provisional Ap	pilcation		(Appeal	Notice, Brief, Reply Brief)	
Affidavits/declaration(s)		ney, Revocation espondence Add	lress	Propriet	tary Information	
Extension of Time Request	Terminal Discl	aimer		Status Letter		
Express Abandonment Request	Request for Re	fund			nclosure(s) (please	
🔀 Information Disclosure Statement	_ ·			☐ Identify below):		
Certified Copy of Priority		CD, Number of CD(s)				
Document(s)	Remarks One reference attac	Remarks				
Response to Missing Parts/ Incomplete Application	One reference attac	iicu				
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATO	JRE OF APPLICA	NT, ATTORNE	Y, OR A	AGENT		
Name Matthew A. Leff		Registration No	o. (Attorn	ey/Agent)	50,149	
Signature July 6 4		_		Date	7/7/2006	
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P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 7/7/06						
Typed or printed name Stephanie Ricardo	13 (Stephanie Ricardo					
Signature Stochania	Diggs		Date	7/7/06		

UNITED STATES PATENT AND TRADEMARK OFFICE

Duggan et al.

Serial No. 10/509,959

Filed: October 4, 2004

For: INHIBITORS OF AKT ACTIVITY

Art Unit: To be determined

Examiner:

To be determined

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR 1.97**

Sir:

- 1. In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- 2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- 3. Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference.
- Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application publication are not enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

MERCK & CO., INC.



INFORMATION DISCLOSURE STATEMENT

5. Purceant to C.F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited

by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120 are not enclosed. Related application(s) in which references were submitted to or cited by the Office are as follows:

	RELATED AP	PLICATION
U. S. SERIAL NUMBER	FILING DATE	MERCK CASE
If this is inconvenient, additional copies will be submitted upon	request.	<u> </u>
6. In accordance with 37 C.F.R. 1.97, (check one)		
the attached information is filed within three months of the	filing date of the captioned case.	
the attached information is filed more than three months at Office Action on the merits.	ter the filing date but prior to the	mailing of a first
the attached information is filed before the mailing of a first examination under §1.114.	Office action after the filing of a	request for continued
the attached information is being filed more than three more Office Action on the merits, but before the mailing date of a otherwise closes prosecution in the application. The enclose Account No. 13-2755 for the fee required under 37 C.F.R.	Final Action, Notice of Allowand sed authorization is therefore giv	e, or an action that
each item of information contained in this Information Disci from a foreign patent office in a counterpart foreign applica Statement.		
each item of information contained in the information disclession a foreign patent office in a counterpart application and designated in §1.56(c) more than thirty days prior to the fill	d this communication was not red	eived by any individu
no item of information contained in this Information Disclos foreign patent office in a counterpart foreign application, ar after making reasonable inquiry, was known to any individumenths prior to the filing of this Statement.	nd, to the knowledge of the perso	n signing the certifica
	Respectfully submitted,	
	By: Matthew A. Leff	
	Attorney For Ap	plicant(s)
	Reg. No. 50,149 MERCK & CO., INC. Patent Dept., RY60-30	
	P.O. Box 2000	
	Rahway, N.J. 07065-090)7
	(732)594- <u>1404</u> Date: <u>July 7, 2006</u>	-

	•			Patent and Trademark Office; U.S DEPARTMENT OF COMMERCE	
	Substitute for form 1449A/PTO			COMPLETE IF KNOWN	
	INFORMATION DIS	SCLOSURE	Application Number	10/509,959	
,	OVERATEMENT BY AI	ODI ICANIT	Filing Date	October 4, 2004	
	· • •	ILICANI	First Named Inventor	Mark E. Duggan	
	JUL 1 0 2006 (use as many sheets as	m a a a a a a m .)	Group Art Unit	To be determined	
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•	Short MARIE 1 of	2	Attorney Docket Number	21073YP	_
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	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Docum Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
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FOREIGN PATENT DOCUMENTS						
			Foreign Patent Docume	nt		Date of Publication of
Examiner Initials*	Examiner Cite nitials* No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY
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Examiner Signature	Date Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Su	ubstitute for form 1449B/PTO				COMPLETE IF KNOWN
1	NEORMATION	DIS	CLOSURE	Application Number	10/509,959
. / 0	TATEMENT BY	A D	DI ICANIT	Filing Date	October 4, 2004
	1 0 2006	AP	PLICANI	First Named Inventor	Mark E. Duggan
A 30L	<i>Ğ</i> /			Group Art Unit	To be determined
11.77	(use as saidiny sheets	as n	ecessary)	Examiner Name	To be determined
Sheet	2	of	2	Attorney Docket Number	21073YP

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.			
		B. Venugopalan et al., European Journal of Medicinal Chemistry, Vol. 24(6), pgs. 611-614 (1989)			
	,				

Examiner	Date
Signature	Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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